



Fiduciary Statement (Best Interest)

The Dupree Financial Group, LLC is a SEC Registered Investment Advisor, located on Main Street in Lexington since 2003. We pride ourselves on having over 100 years of combined investment experience in our team. We always work as a team, with our investment advisors and support staff are available to address any concerns or questions that you have are answered in a timely fashion.

Dupree Financial Group, LLC is a fiduciary which is required to act in the best interest of our clients. Advisor(s) providing investment advice must act with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims, based on the investment objectives, risk tolerance, financial circumstances, and needs of the retail retirement client, without regard to the financial or other interests of the adviser, financial institution, or any affiliate, related entity or other party.

Dupree Financial Group, LLC has a compliance program designed to limit and disclose all potential conflicts of interest. Please see the firm's Form ADV¹, either on our website DupreeFinancial.com or SEC's Investment Adviser Public Disclosure (IAPD) website at www.adviserinfo.sec.gov.

Dupree Financial Group, LLC is a *level-fee* advisor. A *level-fee* is compensation that is provided on the basis of a fixed percentage of the value of the assets that does not vary with the particular investment recommended, rather than a commission or other transaction-based fee.

Dupree Financial Group, LLC believe that your retirement portfolio is too important to be managed by a one size fits all menu of mutual funds or annuities, at the other end of an 800 number or a website.

Dupree Financial Group, LLC design an individual account just for you, our client. Once your plan is implemented, we try to meet with you twice a year to review your plan and make changes if needed

Focused on people, not just numbers.

¹ Form ADV is the uniform form used by registered investment advisers to register with both the Securities and Exchange Commission (SEC) and state securities authorities. The form consists of two parts. Part 1 requires information about the investment adviser's business, ownership, clients, employees, business practices, affiliations, and any disciplinary events of the adviser or its employees.

Part 1 is organized in a check-the-box, fill-in-the-blank format. The SEC reviews the information from this part of the form to process registrations and manage its regulatory and examination programs.

Part 2 requires investment advisers to prepare narrative brochures written in plain English that contain information such as the types of advisory services offered, the adviser's fee schedule, disciplinary information, conflicts of interest, and the educational and business background of management and key advisory personnel of the adviser. The brochure is the primary disclosure document that investment advisers provide to their clients.