



Business Continuity Plan

Dupree Financial Group, LLC is committed to providing our client(s) with secure and reliable access to their accounts. This commitment includes providing information regarding plans to address disruptions in our business operations due to power outages, natural disasters or other significant event(s).

Dupree Financial Group, LLC has a Business Continuity Plan that is intended to permit the firm to maintain business operations such as processing client orders and transactions, providing access to cash and securities, and providing access to information about balances and transactions in client accounts.

Examples on how Dupree Financial Group, LLC address disruptions of varying scope and magnitude include, but not limited to:

- Identification of all mission critical systems and system backup and recovery for such system,
- A review of financial and operational risks,
- Alternate communications with its customers,
- Employee safety strategies and communications,
- Systems and telecommunications accessibility, and
- Alternate physical site location.

Although Dupree Financial Group, LLC has taken significant steps to develop and implement sound business recovery practices, after significant business disruption clients may still experience service disruptions, or reduced services or access as the firm recovers. We continually assess, update, and test our plans to ensure that Dupree Financial Group, LLC plans are robust and are consistent with many of the best practices in the industry.